

Humanitarian Action in Complex Political Emergencies

NUTR 229 (Friedman School of Nutrition Science and Policy)
DHP D-230 (Fletcher School of International Law and Diplomacy)
Fall Semester 2009

Basic Information

Course Location: Tufts University Boston Campus. *Sackler Room 114*
First session on 09/08/09: *Jaharis 156*, with a repeat session for Fletcher students on Friday, Sept 11 from 1:00 – 4:00 pm in *Cabot 205*

Course Times: Tuesday mornings, 9:00 am to 12:00 noon, September 8 – December 8
(Total of 13 sessions, no session on October 13)

Course Instructor: Dan Maxwell

Teaching Assistant: Marion Min

Office Hours and Contacts: Dan Maxwell: Feinstein Center Mondays or Wednesdays (by appointment)
Friedman School Tuesday 12:00 – 3:00 (by appointments)
(Jaharis 140) Other times by appointment

Phone: (617) 627-3410 (Feinstein Int'l. Center)
(617) 636-3737 (through Charlene Stevens)

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Marion Min: Friedman School By appointment
E-Mail: marion.min@tufts.edu

Course Background

The past two decades have witnessed a major transformation of humanitarian action. The geopolitical changes resulting from the end of the Cold War, the increased numbers of low-intensity and protracted conflicts in the 1990s and the global war on terrorism in the 2000s have profoundly changed the environment in which humanitarian agencies operate. These changes – and the proposed ways of dealing with them – have triggered heated debates about both philosophical and operational issues within the humanitarian community. The willingness of donors to fund humanitarian operations has grown, particularly in comparison to the willingness of those same donors to address the root causes of crises and vulnerability. The operating environment has been particularly polarized since 9/11. The range of humanitarian actors has broadened to include military and, increasingly, private, for-profit firms. Long-held principles are questioned or discarded, with humanitarian action no longer seen by many belligerents as either neutral or impartial. Donor assistance is often prioritized according to geo-political advantage rather than the humanitarian imperative. The media has stepped up coverage in some cases, while totally ignoring others. Humanitarian workers and journalists have been targeted for attack and kidnapping.

This course examines the evolution of the humanitarian action in relation to changes in the operating environment and changes in the international system. This multi-disciplinary course will cover a broad range of subjects, and addresses a number of topics:

- A historical perspective on humanitarian action
- The normative frameworks of humanitarian action – international humanitarian law, humanitarian principles, and codes of conduct;
- Conceptual frameworks for addressing the protection of life, livelihoods, rights and safety of people caught in complex emergencies;
- The impact of conflicts and the “global war on terror” on humanitarian space and humanitarian action.

- The political economy of conflict and humanitarian aid;
- Methodologies developed to improving the effectiveness and accountability of humanitarian action;
- The evolving structure of the international humanitarian system;
- The ethical and practical implications of incorporating human rights in humanitarian action.

The course will rely on a case-study approach to examining these issues, and students will be involved in developing the case studies for presentation in class. By the end of this course students will be aware of the foundations on humanitarian action (International Humanitarian Law, humanitarian principles, different traditions); the historical, legal, social, political and moral context of humanitarian emergencies; the main analytical frameworks used to understand the causes and consequences of complex emergencies; and major forms of humanitarian responses to complex emergencies. Students will understand the complex relationship between humanitarian action and the international environment, the impact of humanitarian emergencies on social relations, and will have a working knowledge of the principles and standards of accountability for engaging in humanitarian response in complex emergencies.

Course assignments and grading

There will be one three-hour class each week, led by Dan Maxwell and several guest lecturers. Course presentation notes, assigned readings, team assignments, team papers and exams are all provided through the course website on **Angel** <<http://friedmanlearning.tufts.edu>>. Expected responsibilities of students include:

1. **Reading.** Students should be prepared for each class by completing the required readings in advance.
2. **Group Case Studies.** Each student will participate in two group presentations of case studies. Over the course of the semester, groups of students will do presentations on specific case studies in class. Topics for the class presentations will be based on the course syllabus and the presentations will usually be presented the same week as the related topic in class (with one or two exceptions). A second set of group presentations will be done to prepare for the final in-class case study on the current crisis in Darfur on December 1. Groups for both case study exercises will be formed on the basis of self selection to the extent possible, but each student in the class is expected to participate in the preparation and presentation of group exercises.
3. **Papers.** Each student will write two short (1,500-2000 word) papers during the course of the semester related to different themes of the course. These will include:
 - a. A “Book Review” paper on any of the starred books on the next page (due October 13)
 - b. A “Critical Synthesis” paper of the readings for any one section of the class (due November 10)
4. **Group discussions.** Due to the anticipated size of the class, we will rely on discussion in groups of about 6 students on various occasions throughout the semester. Students will explore the readings of the class in these groups, based on discussion questions from the instructor.
5. **Exams.** A final (open book) exam will have six or seven essay questions that will ask you to synthesize material from the readings, class presentations and discussions to answer. Each student will select three to answer (one might be assigned, with the other two left to the choice of the individual student). The exam will be available for downloading from the course website on “Angel” <<http://friedmanlearning.tufts.edu>> on December 11, and will be due on December 16 (dates to be confirmed closer to the time).
6. **Grades.** Grading will be a combination of the in-class team presentations (30%), the written papers (30%), class discussion and leadership of a discussion session and the final take home exam (30%) and participation in class and small-group discussion (10%).

For more information on writing assignments, group discussions, group case studies, the objectives for each of these, and the criteria for grading them, see “Course Overview Notes.”

Course Structure and Readings

Required books

The Sphere Project. 2004. *Humanitarian Charter and Minimum Standards in Disaster Response*. Geneva, The Sphere Project, Geneva (available on line).

Walker, Peter and Daniel Maxwell. 2009. *Shaping the Humanitarian World*. Series on Global Institutions. London, Routledge.

Recommended books

Barnett, Michael and Thomas Weiss. 2008. *Humanitarianism in Question: Politics, Power, Ethics*. Ithaca, Cornell University Press.

Smilie, Ian, and Larry Minear. 2004. *The Charity of Nations: Humanitarian Action in a Calculating World*. Kumarian Press, Boulder. **

International Committee of the Red Cross. 1983. *Basic Rules of the Geneva Conventions and their Additional Protocols*. Geneva, ICRC. (On Reserve in Sackler and Ginn Libraries)

Stoddard, Abby, 2006. *Humanitarian Alert: NGO Information and its Impact on US Foreign Policy*. Bloomfield, Kumarian Press. **

Weiss, Thomas. 2007. *Humanitarian Intervention: Ideas in Action*. Oxford, Polity Press.**

Eade, Deborah and Tony Vaux. 2007. *Development and Humanitarianism*. Bloomfield CT, Kumarian Press.

Keen, David. 2008. *Complex Emergencies*. Cambridge, Polity Press.**

Kennedy, David. 2005. *The Dark Side of Virtue: Reassessing International Humanitarianism*. New Haven, Yale University Press. **

Nordstrom, Carolyn. 2004. *Shadows of War: Violence, Power and International Profiteering in the Twenty-first Century*. Berkeley, University of California Press. **

Vaux, Tony. 2003. *The Selfish Altruist: Relief Work in Famine and War*. London, Earthscan. **

Rieff, David. 2002. *A Bed for the Night*. New York, Simon and Schuster. **

Slim, Hugo, 2008. *Killing Civilians*. New York: Columbia University Press.**

Terry, Fiona. 2002. *Condemned to Repeat? The Paradox of Humanitarian Action*. Ithaca, Cornell University Press. **

Duffield, Mark. 2001. *Global Governance and the New Wars: The Merging of Development and Security*. New York, Zed Books. **

de Waal, Alex. 1997. *Famine Crimes: Politics and the Disaster Relief Industry*. Oxford, James Currey. **

**** Denotes books eligible for the “Book Review” paper assigned in class.**

A word about the assigned reading

There is a lot of assigned reading in this class. The required reading this year has been trimmed back compared to past years but there is still a lot. The intent in assigning this reading is not that students commit to reading every word. Some of the reading comes from reports or documents that define important issues, or set important policy directions. The idea is to get a sense of what these documents say, where they come from, and how they influence the field of humanitarian action. This should be done without reading the entire document. While not everyone is a speed reader, the intent of that the readings in this course shouldn't take more than 6-8 hours per week. Students who are having trouble keeping up with the reading should speak to Dan or Marion, or attend one of the reading workshops available on campus. The recommended reading is intended for students who are particularly interested in the topic, and who would like to dig deeper. It is not required for all students.

Individual Sessions and Readings

Part I: Precedents, traditions and trends. The international humanitarian system has changed dramatically since the end of the Cold War, and even more so since 9/11. To understand humanitarian intervention in contemporary complex emergencies, we will consider humanitarian action and the changing operating environment, with emphasis on a retrospective case study. While the case study could come later in the course, the intent is “dive in,” even if all the nuances aren't grasped.

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Session 1 – What is humanitarianism in the 21st century? An introduction to humanitarian action and the contemporary operating environment. (Dan Maxwell) September 8 (with a repeat session for joining Fletcher students on September 11)

Note: This session will be held in Jaharis 156, with a repeat session on Sept. 11 at 1:00 pm in Cabot 205

***Introduction to the course and class logistics
Presentation and large group discussion***

Walker, Peter and Daniel Maxwell. 2009. *Shaping the Humanitarian World*. Series on Global Institutions. London, Routledge. Introduction, pp. 1-12.

Terry, Fiona. 2002. *Condemned to Repeat? The Paradox of Humanitarian Action*. Ithaca, Cornell University Press. Introduction, pp. 1-16.

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Also Recommended

Power, Samantha. 2003. *A Problem from Hell: America and the Age of Genocide*. Preface, pp. xi-xxi.

Macrae, Joanna. 2002. “The New Humanitarianisms: A review of trends in global humanitarian action”. ODI: *Humanitarian Policy Group*, Report 11, April 2002

Smilie, Ian and Minear, Larry. 2004. “*The Charity of Nations: Humanitarian Action in a Calculating World*.” Bloomfield, Kumarian Press. Chapter 1, “The Humanitarian Enterprise Today,” pp. 7-22.

Vaux, Tony. 2007. “Humanitarian Trends and Dilemmas.” Chapter 1 in, Deborah Eade and Tony Vaux (eds.) *Development and Humanitarianism*. Bloomfield, Kumarian Press.

Rieff, David. 2002. “Humanitarianism in Crisis.” *Foreign Affairs*, Nov/Dec 2002, Vol. 81. Issue 6, p111.

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Session 2 – Understanding a complex political emergency and its consequences: A retrospective case study of the Rwanda genocide and its aftermath. (Dan Maxwell) September 15.

Rwanda case study

Presentation, video clips and class discussion

Steering Committee of the Joint Evaluation of Emergency Assistance to Rwanda. 1996. *The International Response to Conflict and Genocide: Lessons from the Rwanda Experience*. Particularly Chapter 3 on “The Humanitarian Response.” *Journal of Humanitarian Assistance*. <http://jha.ac>

Uvin, Peter. 1998. *Aiding Violence: The Development Enterprise in Rwanda*. Kumarian Press, pp 40-50, 82-102, 224-238

Choose one of the following:

Power, Samantha. 2003. *A Problem from Hell: America and the Age of Genocide*. pp. 329-390.

Moore, Jonathan, ed. 1997. *Hard Choices: Moral Dilemmas in Humanitarian Intervention*. Lanham: Rowman & Littlefield, 1997, pp 71-86

Terry, Fiona. 2002. *Condemned to Repeat? The Paradox of Humanitarian Action*. Chapter 5. “The Rwandan Refugee Camps in Zaire.” Ithaca, Cornell University Press.

Barnett, Michael. 2002. *Eyewitness to a Genocide*. Ithaca, Cornell University Press.

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Also Recommended

Gourevitch, Phillip. 1998. *We wish to inform you that tomorrow we will be killed with our families. Stories from Rwanda*. New York, Picador.

Dallaire, Romeo. 2003. *Shake Hands with the Devil. The Failure of Humanity in Rwanda*. New York, Carroll and Graf

De Waal, Alex. *Famine Crimes: Politics & the Disaster Relief Industry in Africa*. Indiana University Press, 1997, pp 191-203

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Part 2: The historical and normative foundations of humanitarian action in complex emergencies. Acting in complex emergencies requires a good understanding of both the history and the normative frameworks that have guided humanitarian action. While not all contemporary actors embrace these norms, all should have knowledge of the contemporary debates around these frameworks.

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Session 3 – History perspectives on humanitarianism / Introduction to the present. (Dan Maxwell) September 22.

Presentation and video
Small group discussion

Walker, Peter and Daniel Maxwell. 2009. *Shaping the Humanitarian World*. Series on Global Institutions. London, Routledge. *Read chapters 1-3 on the history of humanitarian action, pp. 13-78; skim chapters 4-6 on the major actors, pp.79-133.*

Stoddard, Abby. 2006. *Humanitarian Alert: NGO Information and its Impact on US Foreign Policy*. Chapter 1, "Agents, Advisors and Antagonists." Bloomfield: Kumarian Press.

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Also Recommended

Dunant, Henri. 2001(1864). *Un Souvenir de Solferino*. Paris, Elibron Classics.

Michael Barnett. 2005. "Humanitarianism Transformed." *Perspectives on Politics*. Vol. 3, pp. 723-740.

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**Session 4 – Normative Foundations: Humanitarian principles and codes of conduct. (Dan Maxwell)
September 29.**

Presentation and class discussion
Case Study Number 1. Humanitarian principles in contemporary conflict.

Stockton, Nicholas. 1998. "In Defense of Humanitarianism." *Disasters*. Vol. 22(4) pp. 352-360.

de Torrente, Nicolas. 2004. "Humanitarian Action under Attack: Reflections on the Iraq War." *Harvard Human Rights Journal*. Vol. 17(1), pp 1-30.

O'Brien, Paul. 2004. "Politicized Humanitarianism: A Response to Nicolas de Torrente." *Harvard Human Rights Journal*. Vol. 17(1), pp 31-41.

Donini, Antonio, et al. 2008. *Humanitarian Agenda 2015 – The State of the Humanitarian Enterprise*. Medford: Feinstein International Center. pp. 9-19

Walker, Peter. 2005. "Cracking the code: the genesis, use and future of the Code of Conduct." *Disasters*, Vol. 29(4): 323–336.

Add something on civ/mil relations and operations.

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Also Recommended

Darcy, James. 2004. "Locating Responsibility: The Sphere Humanitarian Charter and Its Rationale." *Disasters*. Vol. 28 (2): 112 -123

Leader, Nicholas. 1998. "Proliferating Principles; Or How to Sup with the Devil without Getting Eaten." *Disasters*. Vol. 22(4), pp. 288-308.

Minear, Larry, Antonio Donini, et al. 2006. "Humanitarian Action 2015: Principles, Power, and Perceptions." Medford, Feinstein International Center.

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Session 5 – Normative foundations: International Humanitarian Law (Jennifer Leaning, Harvard University) October 6.

Presentation on IHL and humanitarian principles
Small group discussions and class exercise

Checchi, Francesco, Michelle Gayer, Rebecca Freeman Graiss, and Edward J. Mill. 2007. *Public Health in crisis-affected populations: A practical guide for decisions makers*. Humanitarian Practice Network, Network Paper #61. London, Overseas Development Institute.

International Committee of the Red Cross. 1983. *Understanding Humanitarian Law: Basic Rules of the Geneva Conventions and their Additional Protocols*. Geneva, ICRC. (On Reserve in Ginn and Sackler Libraries)

Pictet, Jean. 1979. The Fundamental Principles of the Red Cross. On the ICRC website at: http://www.icrc.org/Web/eng/siteeng0.nsf/html/EA08067453343B76C1256D2600383BC4?OpenDocument&Style=Custo_Final.3&View=defaultBody

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Also Recommended

International Committee of the Red Cross. 2002. "Acts of Terror, 'Terrorism' and International Humanitarian Law." Geneva, ICRC.

International Committee of the Red Cross. 2003. "The Legal Situation of "Unlawful/Unprivileged Combatants." Geneva, ICRC.

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Part 3: The analytical foundations of humanitarian action in complex emergencies. Acting in complex emergencies requires both good analytical capacity and a strong framework for humanitarian action. This section reviews three critical analytical areas, and lays foundations for the programmatic implications of each.

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Session 6 – Conceptual frameworks (1): Conflict analysis and the political economy of violence. (Dan Maxwell) October 20.

Presentation and small group discussions

Case study No. 2. The political economy of conflict in the Democratic Republic of Congo

Keen, David. 2008. *Complex Emergencies*. Cambridge, Polity Press, pp.

Weiss, Thomas. 2007. *Humanitarian Intervention*. Cambridge, Polity Press. Chapter 3, "New Wars and New Humanitarianisms, pp. 59-87.

Thompson, Martha. 2007. "Women, Gender, and Conflict: Making the Connections." Chapter 12 in Deborah Eade and Tony Vaux (Eds.), *Development and Humanitarianism*. Bloomfield, Kumarian Press.

Gingerich, Tara and Jennifer Leaning, 2004. *The Use of Rape as a Weapon of War in the Conflict in Darfur, Sudan*. Cambridge, Physicians for Human Rights.

Mark Duffield. 2005. "Getting savages to fight barbarians: development, security and the colonial present." *Conflict, Security & Development* Vol. 5(2), pp. 141-159.

Nordstrom, Carolyn. 2004. *Shadows of War*. Berkeley, University of California Press. Chapter 8, pp. 105-118.

Le Billon, Philippe. 2002. "The Political Economy of War: What Relief Agencies Need to Know." *Humanitarian Policy Network Paper #33*. London: Overseas Development Institute.

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Also Recommended

Stoddard, Abby, Adele Harmer and Katherine Haver. 2006. Providing aid in insecure environments: trends in policy and operations. HPG Report 23. ODI, London

Duffield, Mark. 2002. "War as Network Enterprise: The New Security Terrain and Its Implications" *Cultural Values*. Volume 6, pp. 153-165.

Macrae, Joanna. 2001. *Aiding Recovery: The Crisis of Aid in Chronic Political Emergencies*. New York: Zed Book. pp. 24-47.

De Waal, Alexander. *Who fights? Who Cares? War and Humanitarian Action in Africa*. Africa World Press, 2000. Pp 1-32

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Session 7 – Conceptual frameworks (2): Famine theory and entitlement (Dan Maxwell) October 27.

Presentation and large group discussion

Case Study No. 3. Famine/food security crisis in a complex emergency: Somalia 2005 - 2009

Class exercise— the manipulation of famine response in a complex emergency: the "head count" case study

de Waal, Alex. 1997. *Famine Crimes*. "Introduction." London, James Currey.

Devereux, Stephen. 2006. "Introduction: From 'Old Famines' to 'New Famines.'" Chapter 1 in Devereux, Stephen (Ed.) *The New Famines: Why famines persist in an era of globalization*. London, Routledge Press.

Sen, Amartya. 1981. "Poverty and Famines: An Essay on Entitlement and Deprivation," pp 1-8, 39-51, 154-166.

Maxwell, Daniel, Kate Sadler, Amanda Sim, Mercy Mutonyi, Rebecca Egan and Mackinnon Webster (2008). *Emergency Food Security Programming: A Good Practice Review*. Humanitarian Practice Network, Good Practice Review Number 10. London: ODI, pp. 7-17.

Darcy, James and Hofmann Charles-Antoine. 2003. *According to Need? Needs Assessment and Decision-Making in the Humanitarian Sector* Humanitarian Policy Group Report #15. London: ODI

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Also Recommended

Lautze, Sue and Dan Maxwell. 2006. "Why do Famines Persist in the Horn of Africa? Ethiopia 1999-2003." Chapter 10 in Stephen Devereux (Ed.), *The 'New Famines': Why Famines Persist in an Era of Globalization*. London: Routledge Press.

Howe, Paul and Stephen Devereux. 2006. "Famine scales: Towards an instrumental definition of 'famine.'" Chapter 2 in Devereux, Stephen (Ed.) *The New Famines: Why famines persist in an era of globalization*. London, Routledge Press

de Waal, Alex and Alan Whiteside. 2003. "'New Variant Famine': AIDS and Food Crisis in Southern Africa." *The Lancet* 2003; Number 362, pp. 1234-37

Haggard, Stephen, and Marcus Noland. 2007. *Famine in North Korea*. Chapter 1 "Introduction." New York, Columbia University Press

Devereux, Stephen. 2006. *The New Famines: Why famines persist in an era of globalization*. London, Routledge Press.

Edkins, J. (2002) "Mass Starvations and the Limitations of Famine Theorizing," *International Development Studies Bulletin*, Volume 33, # 4, October 2002, pp 12 – 18

Food and Agriculture Organization. 2008. *The Integrated Phase Classification Tool*. Rome, FAO.

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Session 8 – Conceptual frameworks (3): Protecting lives and livelihoods in complex emergencies – the livelihoods framework and approach (Dan Maxwell) November 3.

Presentation and large group discussion
Case Study No 4. Livelihoods in crisis – Zimbabwe
Small Discussion Groups Session

Lautze, Sue and Angela Raven-Roberts. 2006. "Violence and complex humanitarian emergencies: implications for livelihoods models." *Disasters*, Vol. 30(4), pp. 383-401

Young, Helen, et al. 2006. "Livelihood Analysis: A Tool for Understanding and Responding to Complex Humanitarian Emergencies."

Longley, Catherine and Daniel Maxwell. 2003. "Livelihoods, Chronic Conflict and Humanitarian Response: A Review of Current Approaches." Working Paper 182. London: Overseas Development Institute.

Lautze, Sue. 1997. "Saving Lives and Livelihoods: Fundamentals of a Livelihoods Strategy." Medford, MA: Feinstein International Famine Center.

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Also Recommended

Jaspars, Susanne and Jeremy Shoham. 2003. "A Critical Review of Approaches to Assessing and Monitoring Livelihoods in Situations of Chronic Conflict and Political Instability." Working Paper 191. London, Overseas Development Institute

Macrae, Joanna and Adele Harmer (2004) "Beyond the continuum: An overview of the changing role of aid policy in protracted crises." HPG Research Briefing No. 16. London: ODI.

Boudreau, Tanya. 1998. "The Food Economy Approach: a framework for understanding rural livelihoods." Humanitarian Practice Network, Paper #26. London, HPN.

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Part 3 – Approaches to humanitarian action. The commitment to protect the lives, livelihoods and rights of civilian populations caught in complex emergencies requires a more professional approach to humanitarian action, and an expanding array of methods to increase the effectiveness and accountability of humanitarian interventions.

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Session 9 – Protecting lives, dignity and livelihoods: Programming approaches in complex emergencies (Dan Maxwell) November 10.

Presentation and class discussion
Small Discussion Groups Session

Collinson, Sarah. 2003. "Power, livelihoods and conflict: case studies in political economy analysis for humanitarian action." HPG Report 13. London, Overseas Development Institute, pp. 3-20.

Daniel Maxwell, Kate Sadler, Amanda Sim, Mercy Mutonyi, Rebecca Egan and Mackinnon Webster (2008). *Emergency Food Security Programming: A Good Practice Review*. Humanitarian Practice Network, Good Practice Review Number 10. London: ODI, pp. 39-60.

Harvey, Paul. 2007. *Cash-based responses in emergencies*. London: Humanitarian Policy Group.

Archibald, Steve and Paul Richards (2002). "Seeds and Rights; New Approaches to Post-War Agricultural Rehabilitation in Sierra Leone." *Disasters* 26(4), pp. 356-367.

Stites, Elizabeth et al. 2008. *Movement on the Margin: Livelihoods and Security in Kitgum District, Northern Uganda*. Tufts Feinstein International Center.

Young, Helen, et al. 2005. *Darfur: Livelihoods under Siege*. pp. 83-109 Medford: Feinstein International Famine Center.

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Also Recommended

Harvey, Paul and Jeremy Lind. 2005. *Dependency and humanitarian relief: A critical analysis*. HPG Report 19. London. ODI

Gaigals, Cynthia. 2007. "Conflict Sensitivity and Programme Quality in Humanitarian Programmes." London, International Alert.

FEWER, International-Alert, Saferworld. 2004. *Resource Pack: Tools for Peace and Conflict Impact Assessment*. Chapter 1, "Conflict Sensitive Approaches to Development, Humanitarian Assistance and Peace Building," and Chapter 2, "Conflict Analysis."

Jacobsen, Karen, Anastasia Marshak, Akua Ofori-Adjei, and Jane Kembabazi. 2006. IDP Livelihoods: "Using Microenterprise Interventions to Support the Livelihoods of Forcibly Displaced People: The Impact of a Microcredit Program in IDP Camps in Lira, Northern Uganda." *Refugee Survey Quarterly*, Vol. 25(1), pp. 23-39.

Levine, Simon and Claire Chastre. 2004. *Missing the point: an analysis of food security interventions in the Great Lakes*. Network Paper 47, Humanitarian Practice Network. London: Overseas Development Institute.

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Session 10 – Incorporating human rights into humanitarian action: Advocacy, protection and the non-traditional approaches. (Dan Maxwell) November 17.

Presentation and class discussion

Case Study No. 5. Humanitarian crises and the responsibility to protect

Weiss, Thomas. 2007. *Humanitarian Intervention*. Chapter 4, "New Thinking: The Responsibility to Protect," pp. 88-118. Cambridge, Polity Press.

Slim, Hugo and Andrew Bonwick. 2005. *Protection: An ALNAP guide for humanitarian agencies*. London: ALNAP.

ICISS. 2001. The Responsibility to Protect. Report of the International Commission on Intervention and State Sovereignty. New York, UN. Read the Executive Summary – skim the rest.

Pantuliano, Sara. 2005. "A principled approach to complex emergencies: testing a new aid delivery model in the Nuba Mountains." *Disasters*. Vol. 29 (Supp) p. 52-66.

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Also Recommended

Caverzasio, Giossi. 2001. *Strengthening Protection in War: A Search For Professional Standards*. Geneva: ICRC

Internal Displacement Monitoring Centre. 2005. "The definition of an internally displaced person." [http://www.internal-displacement.org/8025708F004D404D/\(httpPages\)/CC32D8C34EF93C88802570F800517610?OpenDocument](http://www.internal-displacement.org/8025708F004D404D/(httpPages)/CC32D8C34EF93C88802570F800517610?OpenDocument)

Minear, Larry. 2002. *The Humanitarian Enterprise*. Bloomfield: Kumarian Press. Chapter 3. Human Rights. Pp.37-54.

Peter Uvin. 2004. *Human Rights and Development*. Chapter 6, "A Rights-Based Approach to Development."

Vandenburg, Martina. 2005. "Peacekeeping, Alphabet Soup and Violence Against Women in the Balkans." In Mazurana, Dyan et al. (Eds.) *Gender, Conflict and Peacekeeping*. Lanham MD, Rowman and Littlefield.

Rieff, David (2002) *A Bed for the Night: Humanitarianism in Crisis*. New York: Simon and Schuster, pp. 303-335.

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Session 11 – Humanitarian accountability (Peter Walker) November 24.

Presentation and class discussion

Case Study No 6. Application of Sphere Standards

Large group exercise

The SPHERE Project. 2004. *Humanitarian Charter and Minimum Standards in Disaster Response*. Sphere Project, Geneva.

Darcy, James. 2004. "Locating Responsibility, The Sphere Humanitarian Charter and its Rationale," *Disasters*, Volume 28 (2) pp112-123.

Maxwell, Daniel, Peter Walker, Cheyanne Church, Paul Harvey, Kevin Savage, Sarah Bailey, Roslyn Hees and Marie-Luise Ahlendorf. 2008. "Preventing Corruption in Humanitarian Assistance. Final Research Report." Berlin: Transparency International.

Leaning, Jennifer., Taylor, A., Young, H., Way, S. 2004. "Linking Rights and Standards: The Process of Developing "Rights Based" Minimum Standards on Food Security Nutrition and Food Aid." *Disasters*. Vol. 28 (2) pp 142-159.

Slim, Hugo. 2002. "By what authority? The legitimacy and accountability of NGOs" presented at the International Meeting on Global Trends before and after September 11, Geneva.

Tong, Jacqui. 2004. "Questionable Accountability MSF and Sphere." *Disasters*. Vol. 28(2) pp. 176-169.

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Also Recommended

Anderson, Mary. 2004. *The Do No Harm Handbook*. Cambridge, Local Capacities for Peace Project.

Humanitarian Accountability Project-International. 2008. The Humanitarian Accountability Report 2008. Geneva, HAP-I

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Part 4 – Looking ahead. Working in a global environment in the context not only of localized conflict, but also the global politics of the “war on terror” will require new adaptations and approaches. Understanding the architecture of the humanitarianism is critical to navigating the perils of contemporary crises

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Session 12 – Darfur: The many facets of a complex humanitarian emergency (Dan Maxwell) December 1.

Student presentations of different elements of the crisis
Large group discussion

Mamdani, Mahmood. 2008. *Saviors and Survivors*.

Black Book of Sudan: Imbalance of Power and Wealth in Sudan (Parts 1 & 2). 2003 & 2002.

Haggar, Ali. 2005. “Chadian Militias and the Conflict in Darfur.”

de Waal, Alex. 2004 - 2009. Various on blog: “Making sense of Darfur: <http://blogs.ssrc.org/darfur/category/darfur/>

Petersen, A.H. & Tullin, L. (2006). *The Scorched Earth of Darfur: Patterns in death and destruction reported by the people of Darfur. January 2001-September 2005*. Copenhagen: Bloodhound. 22pp. & Appendices.

Marchal, Roland. 2005. “Darfur’s Regional Implications.”

Ocampo, LM. 2006. “Second Public Hearing of the Office of the Prosecutor.” (Transcript of opening remarks at the Hague.)

Evans, Gareth. 2006. “Crimes against Humanity: Overcoming Indifference.” *Journal of Genocide Research*..

Slim, Hugo. 2004. “Dithering over Darfur.” *International Affairs*.

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Session 13 – The evolving architecture of the humanitarian system – Where do we go from here? (Dan Maxwell) December 8

Presentation and Class discussion

Weiss, Thomas and Peter Hoffman. 2007. “The Fog of Humanitarianism: Collective Action problems and Learning-Challenged Organizations.” *Journal of Intervention and Statebuilding*, Vol.1 (1), pp. 47-65

Walker, Peter and Daniel Maxwell. 2009. *Shaping the Humanitarian World*. Routledge Series on Global Institutions. London, Routledge. Chapter 7, “A brave new world; a better future?” pp. 136-153.

Cooley, Alexander and James Ron. 2002. “NGO Scramble. Organizational Insecurity and the Political Economy of Transnational Action.” *International Security*. Vo. 27(1), pp. 5-39.

Donini, Antonio. 2008. “The Far Side: The Meta-Functions of Humanitarianism in a Globalized World.” Paper presented to the International Studies Association meeting, San Francisco, March 2008

Michael Barnett. 2005. “Humanitarianism Transformed.” *Perspectives on Politics*. Vol. 3, pp. 723-740.

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Also Recommended

Carbonnier, Gilles 2006. "Privatization and outsourcing in wartime: the humanitarian challenges." *Disasters*, 2006, 30(4): 402–416.

Darcy, James and Hofmann Charles-Antoine. 2003. *According to Need? Needs Assessment and Decision-Making in the Humanitarian Sector* Humanitarian Policy Group Report #15. London: ODI

Sarah Kenyon Lischer. 2007. "Military Intervention and the Humanitarian 'Force Multiplier.'" *Global Governance*, Vol. 13(1), pp. 99–118.

Smilie, Ian and Minear, Larry. 2004. *The Charity of Nations. The Way Forward*, pp.225-242.

Olsen, G.R. et al. 2003. "Humanitarian Crises: What Determines the Level of Emergency Assistance? Media Coverage, Donor Interests and the Aid Business." *Disasters*. Vol.27 (2): 109 – 126.

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